

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

BARNETT et al.

Serial No.: 09/475,704 Art Unit: 1633

Filed: December 30, 1999 Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C

POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. The information includes:

United States Patent No. 4,652,639 issued March 24, 1987 to Stabinsky;
United States Patent No. 4,861,707 issued August 29, 1989 to Ivanoff et al.;
United States Patent No. 5,082,767 issued January 21, 1992 to Hatfield et al.;
United States Patent No. 5,130,247 issued July 14, 1992 to Kniskern et al.;
United States Patent No. 5,156,949 issued October 20, 1992 to Luciw et al.;
United States Patent No. 5,688,688 issued November 18, 1997 to Luciw et al.;
United States Patent No. 5,786,464 issued July 28, 1998 to Seed;
United States Patent No. 5,792,459 issued August 11, 1998 to Haigwood;
United States Patent No. 5,795,737 issued August 18, 1998 to Seed et al;
United States Patent No. 5,840,313 issued November 24, 1998 to Vahlne et al.;
United States Patent No. 5,846,546 issued December 8, 1998 to Hurwitz et al.;

United States Patent No. 5,859,193 issued January 12, 1999 to Devare et al.;

United States Patent No. 5,876,724 issued March 2, 1999 to Girard;

United States Patent No. 5,876,731 issued March 2, 1999 to Sia et al.;

United States Patent No. 6,090,388 issued July 18, 2000 to Wang;

International Publication No. WO 90/00556 published January 25, 1990;

International Publication No. WO 96/30523 published WO 96/30523;

International Publication No. WO 97/48370 published December 24, 1997;

International Publication No. WO 98/12207 published March 26, 1998;

International Publication No. WO 00/29561 published May 25, 2000;

European Publication No. 0 199 301 A1 published October 29, 1986;

European Publication No. 0 449 116 B1 published October 2, 1991;

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Zhu et al., Science 261:1179-1181 (1993).

This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the

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information, protocols, results and the like reported by third parties are accurate of enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

Date: 23 Oct 2000

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